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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Huang, Shi Kun; Zalipsky, Samuel; Zhang, Wei-Ming; Jin, Bei; and Quinn, Yolanda P.

Application No.: 09/685,940      Group No.: 1615  
Filed: October 10, 2000      Examiner: Unknown  
For: Neutral-Cationic Lipid for Nucleic Acid and Drug Delivery

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

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I hereby certify that, on the date shown below, this correspondence is being:

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- (2) a notice of allowance under section 1.311

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#### STATEMENT

- 2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).

 13 JUL 2001

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